

SUBSTITUTE FORM PTO-1449 (MODIFIED) JUL 16 2004 PATENT & TRADEMARK OFFICE		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. CMC/163		SERIAL NO. 10/759,288							
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)						APPLICANT Rothenberg							
						FILING DATE 01/16/04							
						GROUP 1644							
U.S. PATENT DOCUMENTS													
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NR	AL	WO 0036103		06/22/00		PCT		C12N	15/12	NA			
NR	AM	WO 02102399		12/27/02		PCT		A61K	38/00	NA			
NR	AN	WO 03030824		04/17/03		PCT		A61K		NA			
NR	AO	WO 03045332		06/05/03		PCT		A61K		NA			
NR	AP	WO 9494680		03/03/94		PCT		C12N	15/24	NA			
NR	AQ	WO 9803654		01/29/98		PCT		C12N	15/24	NA			
OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)													
NR	AR	Graness A., et al., <u>Protein Kinase C and ERK Activation Are Required for TFF-peptide-stimulated Bronchial Epithelial Cell Migration and Tumor Necrosis Factor-α-induced Interleukin-6 (IL-6) and IL-8 Secretion</u> , The Journal of Biological Chemistry, 277:18440-18446 (2002)											
NR	AS	Oertel, M. et al., <u>Trefoil Factor Family-Peptides Promote Migration of Human Bronchial Epithelial Cells: Synergistic Effect with Epidermal Growth Factor</u> , American Journal of Respiratory Cell and Molecular Biology Vol. 25 (2001) pp. 418-424											
NR	AT	PCT, <u>International Search Report</u> , PCT/US2004/001140, mailed 06/14/04, 5 pg.											
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/Nora Rooney/										03/01/2007			
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OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)		
NR	B.R	Williams, G.R. et al., <u>Trefoil facotr family domain peptides</u> , Virchows Arch (1997) 431:299-304
	B.S	
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OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

NR	A.R	Al-azzeah, E., et al., <u>Transcription factor GATA-6 activates expression of gastroprotective trefoil genes TFF1 and TFF2</u> , Biochim. Biophys. Acta 1490:324-332 (2000)
NR	A.S	Allison, M.R., et al., <u>Experimental Ulceration Leads to Sequential Expression of Spasmolytic Polypeptide, Intestinal Trefoil Factor, Epidermal Growth Factor and Transforming Growth Factor Alpha mRNAs In Rat Stomach</u> , J. Pathol. 175:405-141 (1995)
NR	A.T	Barnes, P.J., <u>New directions in allergic disease: Mechanism-based anti-inflammatory therapies</u> , J. Allergy Clin. Immunol. 106:5-16 (2000)

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NR	B.R	Blease, K., <u>Stat6-Deficient Mice Develop Airway Hyperresponsiveness and Peribronchial Fibrosis During Chronic Fungal Asthma</u> , Am. J. Pathol. 160:481-490 (2002)
NR	B.S	Bochner, B.S., et al., <u>Immunological Aspects of Allergic Asthma</u> , Annu. Rev. Immunol. 12:295-335 (1994)
NR	B.T	Boushey, H.A., <u>Experiences with monoclonal antibody therapy for allergic asthma</u> , J. Allergy Clin. Immunol. 108:S77-S83 (2001)

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NR	C.R	Broide, D.H., <u>Molecular and cellular mechanisms of allergic disease</u> , J. Allerg. Clin. Immunol. 18:S65-S71 (2001)
NR	C.S	Bulitta, C.J., et al., <u>Autoinduction of the trefoil factor 2 (TFF2) promoter requires an upstream cis-acting element</u> , Biochem. Biophys. Res. Commun. 293:366-374 (2002)
NR	C.T	Busse, W.W., et al., <u>Asthma</u> , N. Engl. J. Med. 344:350-352 (2001)

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NR	D.R	Drazen, J.M., et al., <u>Sorting Out the Cytokines of Asthma</u> , J. Exp. Med. 183:1-5 (1996)				
NR	D.S	Farrell, J.J., et al., <u>TFF2/SP-deficient mice show decreased gastric proliferation, increased acid secretion, and increased susceptibility to NSAID injury</u> , J. Clin. Invest. 109:193-204 (2002)				
NR	D.T	Finkelman, F.D., et al, <u>Anti-cytokine Antibodies as Carrier Proteins</u> , J. Immunol. 151:1235-1244 (1993)				
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NR	E.R	Foster, P.S., et al., <u>Elemental signals regulating eosinophil accumulation in the lung</u> , Immunol. Rev. 179:173-181 (2001)					
NR	E.S	Hamelmann, E., et al., <u>Noninvasive Measurement of Airway Responsiveness in Allergic Mice Using Barometric Plethysmography</u> , Am. J. Respir. Crit. Care Med. 156:766-775 (1997)					
NR	E.T	Hastie, A.T., et al., <u>Asthmatic Epithelial Cell Proliferation and Stimulation of Collagen Production: Human Asthmatic Epithelial Cells Stimulate Collagen Type III Production by Human Lung Myofibroblasts After Segmental Allergen Challenge</u> , Am. J. Respir. Crit. Care Med. 165:266-272 (2002)					
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OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)		
NR	F.R	Hogan, S.P., et al., <u>A Novel T Cell-Regulated Mechanism Modulating Allergen-Induced Airways Hyperreactivity in BALB/c Mice Independently of IL-4 and IL-g</u> , J. Immunol. 161:1501-1509 (1998)
NR	F.S	Holgate, S.T., et al., <u>Epithelial-mesenchymal interactions in the pathogenesis of asthma</u> , J. Allergy Clin. Immunol. 105:193-204 (2000)
NR	F.T	Holgate, S.T., et al., <u>The bronchial epithellum as a key regulator of airway inflammation and remodelling in asthma</u> , Clin. Exp. Allergy 29:90-95 (1999)

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NR	G.R	Hunt, J.F., et al., <u>Endogenous Airway Acidification: Implications for Asthma Pathophysiology</u> , Am. J. Respir. Crit. Care Med. 161:694-699 (2000)					
NR	G.S	Ihle, J.N., <u>The Stat family in cytokine signaling</u> , Curr. Opin. Cell Biol. 13:211-307 (2001)					
NR	G.T	ISAAC, <u>Worldwide variation in prevalence of symptoms of asthma, allergic rhinoconjunctivitis, and atopic eczema: ISAAC. The International Study of Asthma and Allergies in Childhood (ISAAC) Steering Committee</u> , Lancet 351:1225-1232 (1998)					
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NR	H.S	Kuperman, D.A., et al., <u>Direct effects of interleukin-13 on epithelial cells cause airway hyperreactivity and mucus overproduction in asthma</u> , Nature Medicine 8:885-889 (2002)
NR	H.T	Kurup, V. P., et al., <u>IgE and eosinophil regulation in a murine model of allergic aspergillosis</u> , J. Leukoc. Biol. 56: 593-598 (1994)

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NR	I.S	Lee, N.A., et al., <u>Pulmonary T cells and eosinophils: Coconspirators or independent triggers of allergic respiratory pathology?</u> , J. Allergy Clin. Immunol. 107:945-957 (2001)
NR	I.T	Mishra, A., et al., <u>Interleukin-5-mediated Allergic Airway Inflammation Inhibits the Human Surfactant Protein C Promoter in Transgenic Mice</u> , J. Biol. Chem. 276:8453-8450 (2001)

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NR	J.R	Nikolaidis, et al., <u>Trefoil Factor-2 Is an Allergen-Induced Gene Regulated by Th2 Cytokines and STAT6 in the Lung</u> , Am. J. Respir. Cell. Mol. Biol. 2003; 29:458-464
NR	J.S	Podolsky, D.K., <u>Mechanisms of regulatory peptide action in the gastrointestinal tract: trefoil peptides</u> , J. Gastroentero. 35:69-74 (2000)
NR	J.T	Pope, S.M., et al., <u>IL-13 induces eosinophil recruitment into the lung by an IL-5- and eotaxin-dependent mechanism</u> , J. Allergy Clin. Immunol. 108:594-601 (2001)

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NR	K.T	Ribieras, S., et al., <u>Mouse Trefoll Factor genes: genomic organization, sequences and methylation analyses</u> , Gene 266:67-75 (2001)					
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SUBSTITUTE FORM PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. CMC/163	SERIAL NO. 10/759,288	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b)) (Use several sheets if necessary)				APPLICANT Rothenberg		
				FILING DATE 01/16/04	GROUP 1644	
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	FILING DATE IF APPROPRIATE
	LA					
	LB					
	LC					
	LD					
	LE					
	LF					
	LG					
	LH					
	LI					
	LJ					
	LK					
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS						
		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	TRANSLATION (YES/NO)
	LL					
	LM					
	LN					
	LO					
	LP					
	LQ					
OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)						
NR	L.R	Rio M C., et al., <u>Induction of pS2 and hSP Genes As Markers of Mucosal Ulceration of the Digestive Tract</u> , Gastroenterology 100:375-379 (1991)				
NR	L.S	Robinson, D.S., et al., <u>Predominant TH2-Like Bronchoalveolar T-Lymphocyte Population in Atopic Asthma</u> , N. Engl. J. Med. 326:298-304 (1992)				
NR	L.T	Shimoda, K., et al., <u>Lack of IL-4-induced Th2 response and IgE class switching in mice with disrupted Stat6 gene</u> , Nature 380:630-633 (1996)				
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	M.M						
	M.N						
	M.O						
	M.P						
	M.Q						

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NR	M.R	Takeda, K., et al., <u>Essential role of Stat6 in IL-4 signalling</u> , Nature 380:627-630 (1996)
NR	M.S	Thim, L., et al., <u>Effect of trefoil factors on the viscoelastic properties of mucus gels</u> , Eur. J. Clin. Invest. 32:519-527 (2002)
NR	M.T	Thim, L., <u>Trefoil peptides: from structure to function</u> , Cell. Mol. Life Sci. 53:888-903 (1997)

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	N.Q						

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)		
NR	N.R	Trifileff, A., et al., <u>Abrogation of lung inflammation in sensitized Stat6-deficient mice is dependent on the allergen inhalation procedure</u> , Br. J. Pharmacol. 130:1581-1588 (2000)
NR	N.S	Vignola, A.M., et al., <u>Proliferation and activation of bronchial epithelial cells in corticosteroid-dependent asthma</u> , J. Allergy. Clin. Immunol. 108:378-746 (2001)
NR	N.T	Wills-Karp, M., <u>IL-12/IL-13 axis in allergic asthma</u> , J. Allergy. Clin. Immunol. 107:9-18 (2001)

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NR	O.R	Wills-Karp, M., <u>Immunologic Basis of Antigen-Induced Airway Hyperresponsiveness</u> , Annu. Rev. Immunol. 17:255-281 (1999)
NR	O.S	Wright, N.A., et al., <u>Epidermal Growth Factor (EGF/URO) Induces Expression of Regulatory Peptides in Damaged Human Gastrointestinal Tissues</u> , J. Pathol. 162:279-284 (1990)
NR	O.T	Zimmerman, et al., <u>Murine Eotaxin-2: A Constitutive Eosinophil Chemokine Induced by Allergen Challenge and IL-4 Overexpression</u> , J. Immunol. 165:5839-5846 (2000)

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	P.P						
	P.Q						

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NR	P.R	Zimmermann, N., et al., <u>Dissection of experimental asthma with DNA microarray analysis identifies arginase in asthma pathogenesis</u> , J. Clin. Invest. 111:1863-1874 (2003)
	P.S	
	P.T	

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